

02-07-07

IAP13 Rec'd PCT/PTO 06 FEB 2007

PCT

TO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/574,161

Filing Date

March 28, 2006

First Named Inventor

STEINWENDER, Martin et al.

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number

20496-514

### ENCLOSURES (check all that apply)

Fee Transmittal Form  
 Fee Attached

Amendment / Reply  
 After Final  
 Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Documents

Response to Missing Parts/ Incomplete Application

Response to Notification of Missing Requirements

Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation  
 Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to Group  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):

1- Signed Declaration/POA  
 2- Signed Assignment and Recordation Form  
 3- Preliminary Amendment  
 4- Return postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name

Charles Guttman, Reg. No. 29,161

Signature

Date

February 5, 2007

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

ER 330 443 155 US

Typed or printed name

Menaz Ahmed

Signature

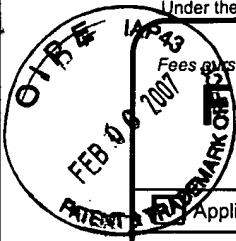
Date

February 5, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# Fee Transmittal For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 170)

## Complete if Known

Application Number	10/574,161
Filing Date	March 28, 2006
First Named Inventor	STEINWENDER, Martin et al.
Examiner Name	
Art Unit	
Attorney Docket No.	20496-514

## METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 16-2500				Deposit Account Name: Proskauer Rose LLP
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES	
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0

## 2. EXCESS CLAIM FEES

## Fee Description

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Small Entity	Fee (\$)
- 20 or HP =	x	=			50	25
HP = highest number of total claims paid for, if greater than 20.					200	100
- 3 or HP =	x	=			360	180
HP = highest number of independent claims paid for, if greater than 3.						

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## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

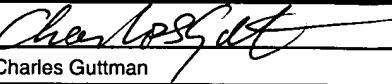
## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Surcharge for Declaration, Recording Assignment

\$170

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 29,161	Telephone (212)969-3000
Name (Print/Type)	Charles Guttman		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: STEINWENDER, Martin et al. GROUP NO.: Not Yet Assigned

SERIAL NO.: 10/574,161 EXAMINER : Not Yet Assigned

FILING DATE: March 28, 2006

TITLE: COMPOUND OF WOOD AND SYNTHETIC MATERIAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Sir:

In response to the Notification of Missing Requirements mailed on December 12, 2006, we enclose an executed Declaration and Power of Attorney for the above-identified application. A copy of the Notification of Missing Requirements and a Preliminary Amendment to cancel the additional claims for which fees are due are enclosed herewith.

Also enclosed, please find the English translation of the International Application which was previously filed on March 28, 2006 (please see copy of the receipt postcard).

Applicants authorize the Commissioner of the U.S. Patent and Trademark Office to charge Deposit Account No. 16-2500 the amount of \$130.00 for payment of the declaration filing fee surcharge. The Commissioner is furthermore authorized to charge Deposit Account No. 16-2500 for any additional fees that may be due in this matter.

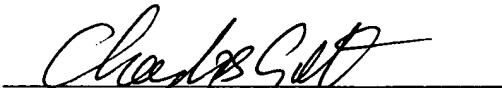
Applicants' undersigned attorney may be reached by telephone at (212) 969-3180 or by facsimile at (212) 969-2900. Please direct all correspondence to Customer No. 21890 at the address provided below.

Respectfully submitted,

PROSKAUER ROSE LLP  
Attorneys for Applicant(s)

Dated: February 5, 2007

By:

  
Charles Guttmann  
Reg. No. 29,161

PROSKAUER ROSE LLP  
Patent Department  
1585 Broadway  
New York, NY 10036-8299  
Tel: (212) 969-3000



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/574,161	Martin Steinwender	20496-514
INTERNATIONAL APPLICATION NO.		
PCT/EP04/10952		
IA. FILING DATE	PRIORITY DATE	
10/01/2004	10/01/2003	

RECEIVED  
 FEB 06 2001  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 Rose  
 Patent Department  
 1585 Broadway  
 New York, NY 10036

CONFIRMATION NO. 7769  
 371 FORMALITIES LETTER



\*OC000000021554882\*

Date Mailed: 12/12/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/28/2006
- Copy of the International Search Report filed on 03/28/2006
- Copy of IPE Report filed on 03/28/2006
- Copy of Annexes to the IPE Report filed on 03/28/2006
- Information Disclosure Statements filed on 03/28/2006
- U.S. Basic National Fees filed on 03/28/2006
- Priority Documents filed on 03/28/2006
- Specification filed on 03/28/2006
- Claims filed on 03/28/2006
- Abstracts filed on 03/28/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$500 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$630** for a Large Entity:

- **\$130** Surcharge.

Additionally the following defects have been observed:

- Annexes have not been entered because No English Translation submitted.
- Total additional claim fee(s) for this application is **\$ 500**
  - **\$500** for 10 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

TAMALA D HOLLAND

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Telephone: (703) 308-9140 EXT 209

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574,161	PCT/EP04/10952	20496-514

FORM PCT/DO/EO/905 (371 Formalities Notice)

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and  
returning this card to our office. **IAPS Rec'd PCT/PTO 28 MAR 2006 \***

Patent Application of : Martin STEINWENDER et al.  
International App. No. : PCT/EP2004/010952  
International Filing Date : 01 October 2004  
Priority Date : 01 October 2003  
Title of the Invention : COMPOUND OF WOOD AND SYNTHETIC MATERIAL

TRANSMITTAL

Attorney(s): Charles Guttman, Esq.  
Docket No.: 20496-514  
Date of Deposit: March 28, 2006  
Enclosure(s): Transmittal Letter; Published International Application (German); English Translation of International Application, Information Disclosure Statement, PTO/SB/08A form with copies of cited references.

**10/574161**

**EXPRESS MAIL LABEL NO.: EV 671310789 US**

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